

Substitute for Form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Complete if Known**

Application Number	10/600,298
Filing Date	June 20, 2003
First Named Inventor:	Julian N. Nikolchev, et al.
Art Unit	3772
Examiner Name	Brown, Michael A.
Attorney Docket Number	6701P002XC4

**Sheet** 1 **of** 5
**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If known)				
		US-	2,102,270	12/14/1937	Mortimer N. Hyams	
		US-	3,563,235	2/16/1971	Jaime A. Zipper	
		US-	3,763,856	10/9/1973	Theodore G. Blomberg	
		US-	3,840,016	10/8/1974	Hans-Joachin Lindemann	
		US-	3,938,527	2/17/1976	Jacques E. Rioux, <i>et al.</i>	
		US-	4,003,380	1/18/1977	Peter Wien	
		US-	4,040,417	8/9/1977	Jaime A. Zipper	
		US-	4,140,126	2/20/1979	M. Hasan Choudhury	
		US-	4,143,656	3/13/1979	Gordon W. Holmes	
		US-	4,207,891	6/17/1980	Lee R. Boldue	
		US-	4,503,569	3/12/1985	Charles T. Dotter	
		US-	4,523,590	6/18/1985	Wilfred Roth, <i>et al.</i>	
		US	4,574,806	3/11/1986	Brian D. McCarthy	
		US	4,655,771	4/7/1987	Hans I. Wallsten	
		US	4,717,387	1/5/1988	Kiyoshi Inoue, <i>et al.</i>	
		US	4,733,665	3/29/1988	Julio C. Palmaz	
		US	4,943,290	7/24/1990	Frederick W. Rexroth, <i>et al.</i>	
		US	4,969,458	11/13/1990	Dominik M. Wiktor	
		US	5,071,407	12/10/1991	Paul L. Termin, <i>et al.</i>	
		US	5,108,420	4/28/1992	Lloyd A. Marks	
		US	5,122,137	6/16/1992	Charles D. Lennox	
		US	5,133,709	7/28/1992	Martin R. Prince	
		US	5,147,370	9/15/1992	Thomas O. McNamara, <i>et al.</i>	
		US	5,195,964	03-23-1993	Kletzky, et al.	
		US	5,259,836	11-09-1993	Thurmond, et al.	
		US	5,304,228	4/19/1994	Martin R. Prince	
		US	5,346,498	9/13/1994	John P. Greelis, <i>et al.</i>	
		US	5,350,397	9/27/1994	Thomas J. Palermo, <i>et al.</i>	
		US	5,364,393	11/15/1994	David C. Auth, <i>et al.</i>	
		US	5,365,621	12/28/1982	Jan-Olof Brundin	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

**SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**

Based on Form PTO/SB/08A (08-03) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 09/10/03.

Substitute for Form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Complete if Known**

Application Number	10/600,298
Filing Date	June 20, 2003
First Named Inventor:	Julian N. Nikolchev, et al.
Art Unit	3772
Examiner Name	Brown, Michael A.
Attorney Docket Number	6701P002XC4

Sheet	2	of	5
-------	---	----	---

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US-	5,366,472	11-22-1994	Hillstead	
		US-	5,382,261	01-17-1995	Palmaz	
		US-	5,389,089	02-14-1995	Bauer, et al.	
		US-	5,389,100	2/14/1995	Steven R. Bacich, <i>et al.</i>	
		US-	5,423,829	6/13/1995	Phong Pham, <i>et al.</i>	
		US-	5,423,849	06-13-1995	Engelson, et al.	
		US-	5,443,500	08-22-1995	Sigwart	
		US-	5,469,867	11-28-1995	Schmitt	
		US-	5,522,836	6/4/1996	Thomas J. Palermo	
		US-	5,534,007	7/9/1996	Jon P. St. Germain, <i>et al.</i>	
		US-	5,545,210	08-13-1996	Hess, et al.	
		US-	5,562,654	10-08-1996	Smith	
		US-	5,569,245	10/29/1996	Guido Guglielmi, <i>et al.</i>	
		US-	5,578,074	11/26/1996	Gregory E. Mirigian	
		US-	5,601,593	02-11-1997	Freitag	
		US-	5,601,600	2/11/1997	Dai Ton	
		US-	5,624,449	11/29/1997	Pete P. Pham, <i>et al.</i>	
		US-	5,624,461	4/29/1997	Michael J. Mariant	
		US-	5,656,036	08-12-1997	Palmaz	
		US-	5,690,666	11/29/1997	Alejandro Berenstein, <i>et al.</i>	
		US-	5,690,842	11/29/1997	Clarence M. Panchinson	
		US-	5,718,711	2/17/1998	Aljandro Berenstein, <i>et al.</i>	
		US-	5,746,692	5/5/1998	Steven R. Bacich, <i>et al.</i>	
		US-	5,746,769	5/5/1998	Dai T. Ton, <i>et al.</i>	
		US-	5,766,160	6/16/1998	Gene Samson, <i>et al.</i>	
		US-	5,766,203	06-16-1998	Imran, et al.	
		US	5,772,669	6/30/1998	Anthony C. Vrba	
		US	5,807,236	9/15/1998	Steven R. Bacich, <i>et al.</i>	
		US	5,858,571	1/7/1975	Arthur I. Rudolf	
		US	5,895,749	4/20/1999	Juan G. Alvarez	
			5,968,052	10/19/1999	Roy Sullivan, III, <i>et al.</i>	

Examiner Signature		Date Considered	
-----------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

**SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**

Based on Form PTO/SB/08B (08-03) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 09/10/03.

Substitute for Form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Complete if Known**

Application Number	10/600,298
Filing Date	June 20, 2003
First Named Inventor:	Julian N. Nikolchev, et al.
Art Unit	3772
Examiner Name	Brown, Michael A.
Attorney Docket Number	6701P002XC4

**Sheet** 3 **of** 5
**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US-	6,019,757	2/1/2000	Ronald Scheldrup	
		US-	6,080,152	6/27/2000	Paul C. Nardella, <i>et al.</i>	
		US-	6,156,742	12/5/2000	Roderick L. Mackenzie	
		US-	6,178,354	1/23/2001	Charles A. Gibson	
		US-	6,270,495	8/7/2001	Thomas Palermo	
		US-	6,286,510	09-11-2001	Ray,et al.	
		US-	6,357,443	03-19-2002	Loy	
		US-	6,371,118	04-16-2002	Ray,et al.	
		US-	6,401,719	6/11/2002	Brian E. Farley, <i>et al.</i>	
		US-	6,513,528	02-04-2003	Burton, et al.	
		US-	6,550,480	04-22-2003	Feldman, et al.	
		US-	6,599,299	07-29-2003	Schultz	
		US-	6,705,323	3/16/2004	Julian N. Nikolchev, <i>et al.</i>	
		US-	6,712,810	03-30-2004	Harrington, et al.	
		US-	6,726,682	04-27-2004	Harrington, et al.	
		US-	6,758,831	07-06-2004	Ryan	
		US-	6,780,182	08-24-2004	Bowman, et al.	
		US-	6,802,825	10-12-2004	Ackerman, et al.	
		US-	2003/0015203 A1	01-23-2003	Makower, Joshua; et al.	
		US-	2003/0051735 A1	03-20-2003	Pavcnik, Dusan; et al.	
		US-	2003/0199913 A1	10-23-2003	Dubrul, William R.; .et al.	
		US-	2004/0220585 A1	11-04-2004	Nikolchev, Julian	
		US-	2004/0172051 A1	09-02-2004	Ravikumar, Sundaram	
		US-	2004/0202694 A1	10-14-2004	Burbank, Fred H.; et al.	
		US-	2004/0204720 A1	10-14-2004	Harrington, Douglas C.; et al.	
		US-	2004/0255958 A1	12-23-2004	Harrington, Douglas C.; et al.	
		US	2005/0033281 A1	02-10-2005	Bowman, Brett S.; et al.	
		US				
		US				

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

**SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**

Based on Form PTO/SB/08B (08-03) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 09/10/03.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Application Number	10/600,298
Filing Date	June 20, 2003
First Named Inventor:	Julian N. Nikolchev, et al.
Art Unit	3772
Examiner Name	Brown, Michael A.
Attorney Docket Number	6701P002XC4

<b>Sheet</b>	4	<b>of</b>	5	Attorney Docket Number	6701P002XC4
--------------	---	-----------	---	------------------------	-------------

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	-----------------	--

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.**

Based on Form PTO/SB/08B (08-03) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 09/10/03.

<b>Substitute for Form 1449/PTO</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/600,298
				Filing Date	June 20, 2003
				First Named Inventor:	Julian N. Nikolchev, et al.
				Art Unit	3772
				Examiner Name	Brown, Michael A.
<b>Sheet</b>	5	<b>of</b>	5	Attorney Docket Number	6701P002XC4
<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
		Brueschke, Erich E., "Reversible Occlusive Devices," J. Reproductive Medicine for the Obstetrician and Gynecologist, Vol. 17, No. 2 (Aug. 1976)			
		Brundin J., "Ch. 31: Hydrogel Tubal Blocking Device: P-Block," Female Transcervical Sterilization (Gerald Zatuchni, M.D. et al., eds., Harper & Row 1983)			
		Brundin, J., "Hysteroscopy for Sterilization," Contraceptive Delivery Systems: An International Journal (1982)			
		Brundin, J., "Observations on the mode of action of an intratubal device, the P- block," Am. J. of Obst. & Gyn., (1987)			
		Fischer, Michael E. et al., "Silicone Devices for Tubal Occlusion: Radiographic Description and Evaluation," Radiology (June 1984)			
		Free, Michael J. et al., "Technology for Mechanical Fallopian Tube-Blocking Device," Advances in Female Sterilization Techniques (Harper & Row 1976)			
		Hamou, J. et al., "Hysteroscopic Placement of Nylon Intratubal Devices for Potentially Reversible Sterilization," The Fallopian Tube: Basic Studies and Clinical Contributions (1986)			
		Hayashi, Motoyuki, "Ch. 28: Tubal Sterilization By Cornual Coagulation Under Hysteroscopy," Human Sterilization (Ralph Richart et al., eds. 1972)			
		Hosseinian, Abdol "31: Hysteroscopically Delivered Tubal Plugs," Reversal of Sterilization (John Sciarra et al., eds. Harper & Row 1978)			
		J.F. Huka & K.F. Omran, "Ch. 27: Cauterization For Tubal Sterilization," Human Sterilization (Ralph Richart et al., eds. 1972)			

Examiner Signature	Date Considered
--------------------	-----------------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**  
**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**